

PR 24 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FILING COMPLETION UNDER RULE 53(f)

PATENT  
APPLICATION

In re PATENT APPLICATION of

Attn: Application Division

Inventor: **DUNCAN et al.**

Appln. No.: 09/752,112

Atty. Dkt. 061473 | 0270171

Filed: December 29, 2000

(Our Deposit Account No. 03-3975)

Title: **"Method and Apparatus for Classifying Remote Procedure Call Transport Traffic"**

(Our Order No. 0270171 | NOR-12546 SS

TMC# | Client Ref

Date: April 20, 2001

Asst. Commissioner for Patents

**BOX MISSING PARTS**

Washington, D.C. 20231

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. Notice to File Missing Parts ☒ copy enclosed ☐ not yet received
2. ☒ Signed Declaration enclosed ☒ Original ☐ facsimile/copy
3. ☒ Enclosed is an Assignment and cover sheet. Please return the recorded assignment to the undersigned.
4. ☒ Four (4) sheets of Substitute drawings (Figs. 1-5).

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

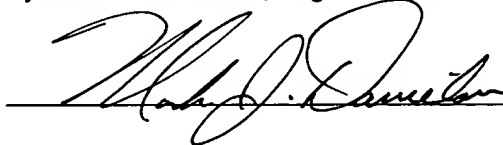
5. Basic Filing Fee .....	\$710 / 355	\$ 710.00	101/201
6. Total Claims	20 minus 20 = 0	x \$18/\$09 + .00	103/203
7. Independent Claims	2 minus 3 = 0	x \$80/\$40 + .00	102/202
8. Surcharge for filing Declaration/filing fee late	\$130/\$65	+ 130.00	105/205
9.	<b>FILING FEE ENCLOSED = \$ 840.00</b>		
10. Original due date: April 8, 2001			
11. Petition is hereby made to extend the original due date to cover the date of this response, for which the requisite fee is enclosed.	\$110/55 \$380/190 \$870/435 \$1510/755	\$110.00	
12. If "assignment" box is X'd, add recording fee .....	\$40	+ 40.00	581
13.	<b>TOTAL FEE ENCLOSED: = 990.00</b>		

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any missing or insufficient fees required under Rules 16-18 relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

1100 New York Avenue, N.W.  
Ninth Floor, East Tower  
Washington, D.C. 20005-3918  
Tel: (650) 233-4777  
Fax: (650) 233-4040

**PILLSBURY MADISON & SUTRO, LLP**

By: **Mark J. Danielson**, Reg. No. 40,580



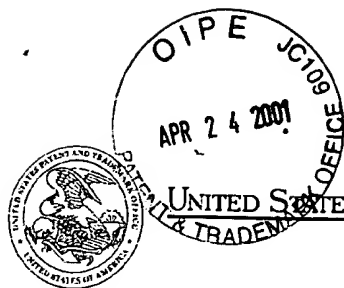
04/25/2001 HKORCHA 00000045 09752112

03 FC:115

110.00 DP

I certify that the enclosed papers are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on April 20, 2001.

  
Jeanette Walker-Cooks



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/752,112	12/29/2000	Robert J. Duncan	061473/0270171

CONFIRMATION NO. 8220

## FORMALITIES LETTER



\*OC000000005748183\*

PILLSBURY MADISON & SUTRO LLP  
Ninth Floor, East Tower  
1100 New York Avenue, N.W.  
Washington, DC 20005-3918

Date Mailed: 02/08/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/25/2001 WKORDMA 00000045 09752112

01 FC:101  
02 FC:105

710.00 OP  
130.00 OP

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

- The balance due by applicant is \$ 840.


The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

---

*A copy of this notice MUST be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE